

# **H2020 funding instruments and rules for participation**

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### Reference Documents

This page includes all the H2020 & FP7 reference documents starting with legal documents and the Commission work programmes for research and innovation up to model grant agreements and guides for specific actions on horizontal issues. The documents are grouped by categories, such as 3rd Health, Consumer, COSME and Research and Innovation documents:

- Click on a folder
- Click on ARROW to have more information about the document

You can search a specific H2020 or FP7 document on the [European Commission website](#)

H2020

Other EU programmes

FP7

All contents of the H2020 Grants Manual folder below are being updated

Legislation

Framework programmes (EC-Euratom)

Rules for participation

**Horizon 2020 Rules for Participation >**

Declarations of the Commission (Rules for Participation) >

20.12.2013

EN

Official Journal of the European Union

#### REGULATION (EU) No 1290/2013 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 11 December 2013

laying down the rules for participation and dissemination in "Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020)" and repealing Regulation (EC) No 1906/2006

(Text with EEA relevance)

THE EUROPEAN PARLIAMENT AND THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Articles 173, 183, and the second paragraph of Article 188 thereof,

Having regard to the proposal from the European Commission,

After transmission of the draft legislative act to the national parliaments,

(3) Horizon 2020 should support the achievement of the objectives of the European Research Area by supporting researchers, scientific knowledge and to circulate freely, by strengthening cooperation between the Union and the Member States, and the Member States, in particular through the adoption of a coherent set of rules.

(4) The rules for participation, exploitation and dissemination in Horizon 2020 laid down in this Regulation ("the Rules") should adequately reflect the resolutions of the European Parliament in its resolution of 11 November 2010 on simplifying the implementation of the Research Framework Programmes (9), and

# Who can participate - definitions

- Legal entity/Participant/Beneficiary
- Member States (MS)
- Associated Countries (AC)
- Overseas Countries and Territories (OCT) linked to the Member States
- Third countries

# Eligibility for Funding (RfP Art 7)

## Article 7

### Legal entities that may participate in actions

1. Any legal entity, regardless of its place of establishment, or international organisation may participate in an action provided that the conditions laid down in this Regulation have been met, together with any conditions laid down in the relevant work programme or work plan.
2. The relevant work programme may restrict the participation in Horizon 2020 or parts thereof of legal entities established in third countries where conditions for the participation of legal entities from Member States, or of their affiliated entities established in a third country, in the third country's research and innovation programmes are considered to be prejudicial to the Union's interests.
3. The relevant work programme or work plan may exclude entities unable to provide satisfactory security guarantees, including as regards personnel security clearance if justified by security reasons.
4. The JRC may participate in actions with the same rights

- **Any legal entity**
- **BUT**
- **Restrictions to the participation may be indicated in the relevant work programme**

# Conditions for Participation (RfP Art 9)

For some instruments, only one is enough


*As a standard, at least 3 legal entities, each established in different MS/AC*

## Mainly together!



  
SME instrument

  
ERC  
COFUND

  
Marie Skłodowska-  
Curie -toimet

  
Coordination and  
Support Action

Ana R. City of Madrid	Gunilla P. Volvo Buses	Erkki N. University of Oulu
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**Consortium**  
*Independent of each other*

# Eligibility for funding (RfP Art. 10)

The following participants are eligible for funding from the Union:

- Entities established in a Member State, Associated Country\* and developing countries \*\*
- Entities created under Union law
- International European interest organisation

Legal entities established in industrialised (and emerging economy) countries and international organisations, may receive funding if:

- Their participation is essential
- There is a bilateral agreement between that country and the EU
- The country is explicitly identified in the relevant work programme and call for proposal as being eligible for funding

[\\*Associated Countries](#)

[\\*\\*Annex A of the Horizon 2020 Work Programmes](#)

# Eligibility for funding

- National funds are available for participation in H2020 projects

## TOPIC : 5G and beyond

**Topic identifier:** EUJ-02-2018

**Publication date:** 27 October 2017

**Types of action:** RIA Research and Innovation action

**DeadlineModel:** single-stage

**Opening date:** 31 October 2017

**Deadline:** 31 January 2018 17:00:00

Time Zone : (Brussels time)



Horizon 2020

Pillar: Industrial Leadership  
Work Programme Year: H2020-2018-2020

Work Programme Part: [Information and Communication Technologies](#)

Call : [H2020-EUJ-2018](#)

[H2020 website](#)

[Call budget overview](#)

### Additional eligibility criteria:

- Proposals submitted to this call which do not include coordination with a Japanese proposal submitted to MIC or NICT for evaluation will be considered ineligible.

# Funding of applicants from non-EU countries & international organisations (RfP Art. 10)

EU grants: H2020 Guidance note — Funding of applicants from non-EU countries & international organisations:  
V2.1 – 22.08.2017



## Guidance note— Funding of applicants from non-EU countries & international organisations

### Horizon 2020 – Open to the world!

Applicants from non-EU countries are almost **always free to take part** in Horizon 2020 programmes — even if the call for proposals or topic text do not state this explicitly.

They are **not always automatically entitled to funding**.

All applications must meet the **minimum conditions** in the H2020 Rules for Participation Regulation No 1290/2013<sup>1</sup>.

### What does 'non-EU countries' mean?

This means any country/territory that is NOT one of the following:

- a Member State of the European Union (EU)
- an overseas country or territory linked to an EU country.

### Eligibility for funding

Applicants from non-EU countries fall into 2 categories:



# Funding Instruments / Types of Actions



# Types of Actions



Research & Innovation Actions (RIA)



European Research  
Council (ERC)



SME Instrument



Innovation Actions (IA)



Fast Track to  
Innovation (FTI)



Marie  
Skłodowska-Curie (MSCA)



Coordination and  
Support Actions  
(CSA)



Prizes



PCP/PPI

ERA-NET Cofund

# Funding Rates

- Funding rates
  - Research and Innovation Actions & Coordination and Support Actions – 100 %
  - Innovation Actions – 70 %
    - For non-profit 100 %
  - Indirect Costs 25% flat rate
  - exceptional cases fixed in the work programme/call, lower reimbursement rates may apply
  - Same funding rate applies to all cost categories

# Case Innokas Medical Ltd

- *Innokas Medical, a Finnish medical technology company, has broad experience in transforming health tech ideas to finished medical equipment, mass manufacturing these for the global market, and taking care of all quality and regulatory matters.*
- *Now the company wants to develop the next version of a clinically promising and cost-efficient home treatment solution for Peripheral Arterial Disease (PAD). The market potential is extremely high as peripheral arterial disease affects more than 200 million people globally.*
- *Innokas is interested in applying for EU funding for this project to get the final equipment faster to the market. They realize that for a successful proposal they need to find partners with a strong base of clinical trials and evaluations expertise as well as health economic evaluations. It would also be essential to know if there are any related projects financed previously in the Horizon 2020 program.*
- ***How would you, as a NCP, assist this company? For example, where would you find partners and the right call and/or funding instrument in H2020?***